



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

patent application of:

Frank S. Saavedra-Lim

) Attorney Docket No.: E-833

Serial No.: 09/475,950

) Group Art Unit: 2876

Filed: December 31, 1999

) Date: June 19, 2001

Title: **A METHOD AND SYSTEM OF UPGRADING THIRD PARTY  
FUNCTIONALITY IN AN ELECTRONIC FRAUD MANAGEMENT SYSTEM**

**REQUEST FOR CORRECTION OF INVENTOR'S NAME AND  
CORRECTED FILING RECEIPT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

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Technology Center 2100

Please note that the Filing Receipt dated March 13, 2000 [copy enclosed] lists the inventor's name as Frank Saavedra-Lim. The application documents, including the Declaration and Power of Attorney, list the inventor's name as Frank S. Saavedra-Lim. The Notice of Recordation of Assignment Document mailed May 5, 2000, lists the Assignor as Frank S. Saavedra-Lim.

Please conform your records to reflect the inventor's name as Frank S. Saavedra-Lim and forward to me a "Corrected Filing Receipt".

Thank you.

Respectfully submitted,

Ronald Reichman  
Reg. No. 26,796  
Attorney for Applicants  
Telephone (203) 924-3854

PITNEY BOWES INC.  
Intellectual Property and  
Technology Law Department  
35 Waterview Drive  
P.O. Box 3000  
Shelton, CT 06484-8000

Receipt

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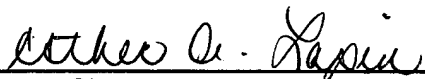
**CERTIFICATION**

I hereby certify that a copy of the within correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

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on June 20, 2001  
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Esther A. Lapin  
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June 20, 2001  
Date

FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/475,950	12/31/99	2876	\$690.00	E-833	16	10	1

CHARLES R MALANDRA JR  
PITNEY BOWES INC  
IS PROP & TECH LAW DEPT  
35 WATERVIEW DR PO BOX 3000  
SHELTON CT 06484

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) FRANK SAAVEDRA-LIM, STRATFORD, CT.

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TITLE  
METHOD AND SYSTEM OF UPGRADING THIRD PARTY FUNCTIONALITY IN AN  
ELECTRONIC FRAUD MANAGEMENT SYSTEM

PRELIMINARY CLASS: 235

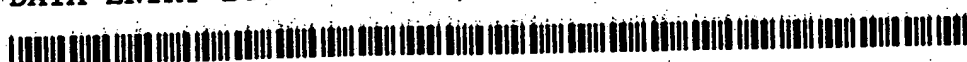
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The title may be truncated if it consists of more than 4 lines of 70 characters each (letters and spaces combined).

The inventor information may be truncated if the family name consists of more than 25 characters (letters and spaces combined) and if the given name consists of more than 25 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).

The docket number allows a maximum of 12 characters.

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Bib Data Sheet

CONFIRMATION NO. 7103

<b>SERIAL NUMBER</b> 09/475,950	<b>FILING DATE</b> 12/31/1999 <b>RULE</b>	<b>CLASS</b> XXX	<b>GROUP ART UNIT</b> 2899	<b>ATTORNEY DOCKET NO.</b> E-833	
<b>APPLICANTS</b> FRANK S. SAAVEDRA-LIM, STRATFORD, CT; <b>** CONTINUING DATA *****</b> <b>** FOREIGN APPLICATIONS *****</b> <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 02/17/2000</b>					
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <u>Examiner's Signature</u> <u>Initials</u>		<b>STATE OR COUNTRY</b> CT	<b>SHEETS DRAWING</b> 16	<b>TOTAL CLAIMS</b> 10	<b>INDEPENDENT CLAIMS</b> 1
<b>ADDRESS</b> CHARLES R MALANDRA JR PITNEY BOWES INC IS PROP & TECH LAW DEPT 35 WATERVIEW DR PO BOX 3000 SHELTON, CT 06484					
<b>TITLE</b> METHOD AND SYSTEM OF UPGRADING THIRD PARTY FUNCTIONALITY IN AN ELECTRONIC FRAUD MANAGEMENT SYSTEM					
<b>FILING FEE RECEIVED</b> 820	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		

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